



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,197	03/29/2001	Winston W. Hodge	Coax 01.004

CONFIRMATION NO. 1233

FORMALITIES LETTER



OC00000006140721

Michael A. Kerr
P.O. Box 2345
Stateline, NV 89449

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/14/2001 TLWU11 00000009 09023197 65.00 DP
01 FC:205

08-08-01
AA

SECTOR
#3

Docket No.: COAX 01.004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

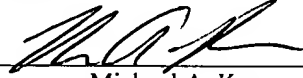
In re Application of:)	Art Unit:
)	
Winston W. Hodge)	Examiner:
)	
Serial No. 09/823,197)	
)	
Filed: 03/29/2001)	
)	
For: A PROGRAMMABLE)	
BROADBAND DOWNSTREAM)	
MODULE)	
)	

CERTIFICATE OF MAILING

Date of Deposit: August 6, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail No. ET 161058320 US, service under 37 CFR 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents
Box, Missing Parts
Washington, D.C. 20231

on 8/6/01 
Date Michael A. Kerr

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner
for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated June 4, 2001, please find enclosed herewith:



1. Copy of the Notice to File Missing Parts (1 page);
2. Declaration for Utility or Design Patent Application (2 pages);
3. Statement Under 37 CFR 3.73(b) (2 pages);
4. Fee Transmittal Sheet (1 page);
5. Credit Card Information Sheet (1 page);
6. Power of Attorney (1 page).

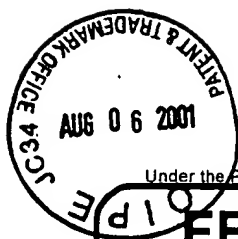
Please take notice that Coax Corporation claims small entity status pursuant to 37 CFR 1.27.

Respectfully submitted,

Michael A. Kerr
Reg. No. 42,722

Dated: August 5, 2001

P.O. Box 2345
Stateline, NV 89449-2345
(775) 588-9498



ET 16105832049

#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 65

Complete if Known

Application Number	09/823,197
Filing Date	03-29-2001
First Named Inventor	HODGE, Winston W.
Examiner Name	
Group Art Unit	
Attorney Docket No.	Coax 01.004

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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Fee Description

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205 65	Surcharge - late filing fee or oath	65
127	50 227 25	Surcharge - late provisional filing fee or cover sheet	
139	130 139 130	Non-English specification	
147	2,520 147 2,520	For filing a request for ex parte reexamination	
112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115	110 215 55	Extension for reply within first month	
116	390 216 195	Extension for reply within second month	
117	890 217 445	Extension for reply within third month	
118	1,390 218 695	Extension for reply within fourth month	
128	1,890 228 945	Extension for reply within fifth month	
119	310 219 155	Notice of Appeal	
120	310 220 155	Filing a brief in support of an appeal	
121	270 221 135	Request for oral hearing	
138	1,510 138 1,510	Petition to institute a public use proceeding	
140	110 240 55	Petition to revive - unavoidable	
141	1,240 241 620	Petition to revive - unintentional	
142	1,240 242 620	Utility issue fee (or reissue)	
143	440 243 220	Design issue fee	
144	600 244 300	Plant issue fee	
122	130 122 130	Petitions to the Commissioner	
123	50 123 50	Processing fee under 37 CFR 1.17(q)	
126	180 126 180	Submission of Information Disclosure Stmt	
581	40 581 40	Recording each patent assignment per property (times number of properties)	
146	710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710 279 355	Request for Continued Examination (RCE)	
169	900 169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65

SUBMITTED BY

Name (Print/Type) Michael A. Kerr

Signature

Registration No.
(Attorney/Agent)

42,722

Complete (if applicable)

Telephone 775-588-9498

Date 08-05-2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.